10

FRAME IDENTIFIER

Field of the Invention

This invention relates to discrimination between different communication signal frames, using pseudo-noise signals to determine which frame is present.

Background of the Invention

In certain communication systems that rely upon use of pseudo-noise techniques for signal discrimination, signals are transmitted within each of a sequence of frames, with each frame including a pseudo-noise preamble or post-amble section of a selected length L1 (expressed in bits or symbols) and a data section of length L2. Where the length L1 of the pseudo-noise preamble is greater than the number N1 of distinguishable pseudo-noise signals (each of original length N1), these pseudo-noise signals must be extended to a length L1, in some manner, in order to fill in the remaining bit or symbol spaces.

What is needed is an approach that provides an identification of frame number using a computable value associated with a pseudo-noise signal associated with a preamble (or post-amble) of the frame. Preferably, this approach should provide a unique correspondence between a computable value and a frame id. Summary of the Invention

These needs are met by the invention, which provides a method and system for determining which frame is present by: (1) receiving two or more consecutive frames and computing overlap functions, OF(m;1) and OF(m;2) (e.g., correlation functions), for each of the frame preambles or post-ambles with a reference signal, where m is an offset index or integer; (2) determining the location ("phase") of the maximum amplitude of OF(m;k) (k = 1, 2) as the index m is varied; (3) forming a pth-order difference of the phases ($p \ge 1$); and (4) using the pth-order phase difference to determine a (unique) frame number that corresponds to the pth-order difference. The pth order difference can be defined in several ways to provide a unique correspondence with frame number.

25

Figure 1 illustrates a sequence of N1 consecutive frames used in the invention

Figure 2 illustrates two major components of a frame, with component lengths L1 and M1, processed by the invention.

Figure 3 is a graphical view of an correlation or overlap function computed from a basic pseudo-noise signal used in the invention.

Figures 4A, 4B and 4C are graphical views of correlation function maxima computed using different index values.

Figure 5 graphically illustrates how overlap functions for two consecutive frame preambles would appear.

Description of Best Modes of the Invention

A communication signal, as received and analyzed according to the invention, includes a sequence of N1 consecutive frames f_n , numbered $n=0,1,2,\ldots$, N1-2, N1-1, with frame numbers being repeated periodically where required, as shown in Figure 1. Each frame f_n includes a pseudo-noise preamble or post-amble PN(t;n) (referred to collectively as a "designated pre-amble" herein) of length N1 bits or symbols ("units"), followed by or preceded by an OFDM sequence OFDM(t;n) that includes data that are being transmitted, as illustrated in Figure 2. In one embodiment of the invention, discussed here as an

In one embodiment of the invention, each pseudo-noise preamble PN(t;n) consists of a sequence of values (+1 or -1) and is optionally a time shifted replica of any other pseudo-noise preamble PN(t;n') in the ensemble of pseudo-noise signals of length N1; each augmented preamble is periodic:

example, N1 = 253, N1' (= min value $\ge N1$ of form 2P-1) = 255, L1 = 378 and

$$PN(t;n) = PN(t + \Delta t(n;m);m), \tag{1}$$
 Here the time shift value $\Delta t(n;m)$ is a selected number of units that may depend

upon the indices m and n. More generally, PN(t;n) need not be a time-shifted

15 15 20

25

M1 = 3780.

10

5

10

replica of PN(t;m), and the relationship is more complex. An overlap function, such as a correlation function,

 $C(n;m) = \int PN(t;n) \ PN(t;n+m) \ dt \ (m=0,\pm 1,\pm 2,...),$ (2) computed over a selected interval for any pair of pseudo-noise signals, PN(t;n) and P(t;n+m), behaves approximately as illustrated in Figure 3: (1) small negative (or positive values) of C(n,m), except within a small band of indices m given by $m_{c1} \le m \le m_{c2}$; (2) C(n,m) rising monotonically, but not necessarily linearly, to a sharply defined peak as m increases to a central value, $m \to m_c$; (3) C(n,m) decreasing monotonically, but not necessarily linearly, to small negative (or positive) values as m increases beyond m_c , with $m \to m_{c2}$, with $m_{c1} < m_c < m_{c2}$. Optionally, the correlation function C(n;m) is periodic in the index m, with period equal to N1 or related to N1.

Because the number N1 (and thus length) of a PN signal used is less than the length L1 of the designated preamble, the quantity C(n;m) will have a main peak of amplitude C(max) and one or two subsidiary peaks of lesser amplitude, as indicated in Figures 4A, 4B and 4C. Except for effects of the presence of noise, one peak will always have an amplitude equal to C(max) and each of the other (subsidiary) peaks will have a reduced amplitude, no larger than C(max;sub) (< C(max)).

When two or more consecutive frames are received, the designated preamble PRE(t;m) for each frame is used to compute overlap functions

OF(m;k) = $\int PRE(t;m) \, MS(t;k) \, dt$ (k = 1, 2, ..., N1') (3) over a discrete range, such as $-[(N1)/2]_{int} \le m \le [(N1+1)/2]_{int}$, over a corresponding continuous range, or over a selected sub-range for the N1 designated preamble signals, where MS(t;k) is a known m-sequence signal and k = 1, ..., N1 is an index that may represent a shift or translation of a single m-sequence, or {MS(t;k)} may be a collection of different m-sequences. If each of the designated preamble signals PRE(t;m) is a PN signal, each of the overlap functions will behave as illustrated in Figure 3, as a function of the unknown

25

5

10

frame index m, and each overlap function OF(m;k) will have a maximum peak value and a corresponding peak value location or phase, $m = m_C(k)$.

Figures 5 graphically illustrates how the overlap functions OF(m;k) would appear in a preferred embodiment in which the correlation function in Figure 3 is linear in the region $m_{C1} \le m \le m_{C2}$ for each such function. Each overlap function will manifest a main peak, of height approximately equal to C(max), and one or two subsidiary peaks of lesser amplitude with maximum peak value(s) C(max;sub) < C(max). Ideally, the main peak will have the value C(max), except for the presence of noise, where the main peak may have a reduced value, at least equal to C(max;red), with C(max;sub) < C(max;red) < C(max). Optionally, the system applies a threshold criterion and determines only the location of any main peak whose amplitude C(peak) satisfies

$$C(peak) > C_{thr} = w \cdot C(max; sub) + (1-w) \cdot C(max; red),$$
(4)

where w is a selected real number satisfying $0 \le w \le 1$. This optional approach again ensures that only the maximum peak amplitude, and its corresponding phase, will be identified.

Each of the locations, $m = m_c(1)$ and $m = m_c(2)$, of the maximum peaks for the overlap functions, OF(m;k) and OF(m+1;k), of two or more consecutive frames has an associated phase $\phi(m)$, an integer or other index that ranges from -63 to +63 and generally has two different frames (e.g., nos. 51 and 201, each with phase $\phi(m) = -26$) that correspond to the same phase. Table 1 sets forth phases and phase differences associated with each of the 253 frames. Thus, an individual phase $\phi(m)$ cannot be used as a unique identifier for the unknown frame number m. However, a first-order phase difference

$$\Delta_1(\mathbf{m}) = \phi(\mathbf{m}+1) - \phi(\mathbf{m}) \tag{5}$$

also set forth in Table 1, varies from 0 to +126 and from -1 to -126 and is unique, if not monotonic, for each of the 253 frames.

Thus, $\Delta_1(m)$ can be computed and compared against a table or data base to determine the frame number m. If $\Delta_1(m)$ is negative, the frame number is odd

(e.g., 1, 3, 5, ..., 251); and if $\Delta_1(m)$ is positive, the frame number is even. The frame number itself can be determined from the following:

 $0 \le \Delta_1(m) \le 126$ and even: $m = \Delta_1(m)$;

 $1 \le \Delta_1(m) \le 125$ and odd: $m = 253 - \Delta_1(m)$;

 $-126 \le \Delta_1(m) \le -2$ and even: $m = 253 + \Delta_1(m)$;

$$-125 \le \Delta_1(m) \le -1 \text{ and odd: } m = -\Delta_1(m).$$
 (6)

Equation (6) can be expressed here as an inverse mapping $m = F\{\Delta_1(m)\}\$.

From Table 1, one verifies that the first-order phase sums satisfy
$$\sum_{1}(m) = \phi(m) + \phi(m+1) = \pm 1,$$
 (7)

and the values +1 and -1 should alternate as m increases. These constraints can be used to check for consistency in the phases $\phi(m)$, where $\phi(m)$ is allowed to have integer and non-integer values. For example, the peaks of three consecutive overlap functions, OF(m;k) and OF(m+1;k) and OF(m+2;k) (k = unknown frame no. = 1, 2, ...), may appear to occur at non-integer values m = m' and m = m'', such as $\phi(m') = 6.9$ and $\phi(m'') = -7.4$ and $\phi(m''') = 8.7$. As a first approach, one might re-assign the indices to nearest-integer values, $\phi(m') \rightarrow 7$, $\phi(m'') \rightarrow -7$ and $\phi(m''') \rightarrow 9$. However, the sums become

$$\Sigma_1(m) = \phi(m') + \phi(m'') = 0,$$
 (8A)

$$\Sigma_1(m) = \phi(m'') + \phi(m''') = +2,$$
 (8B)

each of which is clearly inconsistent with the constraints set forth in Eq. (10). One method of avoiding these inconsistencies is to (re)assign $\phi(m'') = -8$, whereby the sums become

$$\Sigma_1(m) = \phi(m') + \phi(m'') = -1,$$
 (9A)

$$\Sigma_1(m) = \phi(m'') + \phi(m''') = +1,$$
 (9B)

which is consistent with Eq. (10). If each of two consecutive sums, $\Sigma_1(m)$ and $\Sigma_1(m+1)$, does not satisfy the constraint in Eq. (7), adjustment of the reassigned phase value $\phi(m+1)$ may satisfy each of the corresponding constraints.

Other phase differences $\Delta_n(m)$ may or may not provide a unique correspondence with frame number. For example, the second-order phase difference

30

5

10

$$\Delta_2(m) = \Delta_1(m+1) - \Delta_1(m)$$

$$= \phi(m+2) - 2\phi(m+1) + \phi(m)$$
(10)

does not provide a unique correspondence because, for example

$$\Delta_2(m=124) = \Delta_2(m=126) = 251.$$
 (11)

5 This is also true for the fourth-order phase difference

$$\Delta_4(m) = \phi(m+4) - 4\phi(m+3) + 6\phi(m+2) - 4\phi(m+1) + \phi(m), \tag{12}$$

where, for example,

$$\Delta_4(m=122) = \Delta_4(m=126) = -988.$$
 (13)

However, the third order phase difference, defined by

$$\Delta_3(m) = \phi(m+3) - 3\phi(m+2) + 3\phi(m+1) - \phi(m), \tag{14}$$

does provide a unique correspondence with frame number m. It is postulated here that a Qth-order phase difference ($Q \ge 2$), defined as

$$Q
 \Delta_{Q}(m) = \sum_{q=0}^{Q} (-)^{q} \{Q!/(Q-q)! \ q! \} \ \phi(m+q).$$
(15)

does provides a unique correspondence with frame number (only) for odd integers Q. More generally, a suitably weighted linear combination, such as

$$LC(m) = \Delta_1(m) \pm 0.5 \cdot \Delta_2(m) \pm 0.25 \cdot \Delta_3(m) \pm 0.125 \cdot \Delta_4(m)$$
 (16)

can provide a unique correspondence, because the pair of indices at which $\Delta_2(m)$ is not unique and the pair of indices at which $\Delta_4(m)$ is not unique, do not coincide. More generally, a linear combination such as

$$LC(m) = \sum_{p=1}^{P} c(p) \Delta_{p}(m) \qquad (P \ge 2)$$

$$(17)$$

2 5 may provide a unique correspondence, where at least one coefficient c(p) is non-zero. In particular, a linear combination LC(m) for which

$$c(1) = 1, (18A)$$

$$c(p+1)/c(p) \le 0.5 \quad (p = 1, ..., P-1),$$
 (18B)

provides a unique correspondence.

Table 1. Frame Numbers; Phases; Phase Differences

1 aure 1	. I Iaiii	CITATIOOIS			
FrameNo	∳ ai(m)	Deltal(m)	Delta2(m) -1	Delta3(m)	Detla4(m) -12
0	0	0 -1	3	-8	20
1 2 3 4 5 6 7	1	2	-5	12	-28
3	-2	-3 4	7 -9	-16 20	36 -44
4	2 -3	-5	11	-24	52
6	1 -2 2 -3 3 -4	6	-13	28	-60
7	-4	-7	15 -17	-32 36	68 -76
8	4 -5	8 9	19	-40	84
9 10	-5 5	10	-21	44	-92
11	5 -6	-11	23 -25	-48 52	100 -108
12 13	6 -7	12 -13	-25 27	-56	116
14	7	14	-29	60	-124
15	-8	-15	31 -33	-64 68	132 -140
16 17	8 -9	16 -17	35	-72	148
18	9	18	-37	76	-156
19	-10	-19 30	39 -41	-80 84	164 -172
20 21	10 -11	20 -21	43	-88	180
22	11	22	-45	92	-188 196
23	-12	-23 2 4	47 -49	-96 100	196 -204
24 25	12 -13	-25	51	-104	212
26	13	26	-53	108	-220 228
27	-14	-27 28	55 - 57	-112 116	-236
28 29	14 -15	-29	59	-120	244
30	15	30	-61	12 <u>4</u> -128	-252 260
31 32	-16 16	-31 32	63 -65	132	-268
- 33	-17	-33	67	-136	276
34	17	34	-69 71	140 -144	-284 292
35 36	-18 18	-35 36	-73	148	-300
37	-19	-37	75	-152	308 - 316
38	19	38 -39	-77 79	156 -160	324
39 40	-20 20	40	-81	164	-332
41	-21	-41	83	-168 172	340 -348
42	21	42 -43	-85 87	-176	356
43 44	-22 22	44	-89	180	-364
45	-23	-45	91 -93	-184 188	372 -380
46	23 -24	46 -47	-93 95	-192	388
<u>4</u> 7 48	24	48	-97	196	-396
49	-25	-49	99 -101	-200 204	404 -412
50 51	25 -26	50 -51	103	-208	420
52	26	52	-105	212	-428 436
53	-27	-53 54	107 -109	-216 220	-444
54 55	27 -28	-55	111	-224	452
56	28	56	-113	228 -232	-460 468
57 = 8	-29 29	-57 58	115 -117	236	-476
58 59	-30	- 59	119	-240	484
60	30	60	-121	244 -248	-492 500
61	-31 31	-61 62	123 -125	252	-508
62 63	-32	-63	127	-256	516
64	32	64	-129 131	260 -264	-524 532
65 66	-33 33	- 65 66	-133	268	-540
66 67	-34	-67	135	-272	548 -556
68	34	68 -69	-137 139	276 -280	-556 564
69 70	-35 35	-69 70	-141	284	-572
70 71	-36	-71	143	-288	580 -588
72	36	72 -73	-145 147	292 -296	-588 596
73 74	-37 37	-73 74	-149	300	-604
75	-38	-75	151	-304 308	612 -620
76	38	76 -77	-153 155	-312	628
77 78	-39 39	-	-157	316	-636
. , ,					

- 4 -4.3	۵,(m)	Dzím)	Δ3(m)	Δ ₄ (m)
Frama No. $\phi(m)$	- 79	159	-320	644
80 40	80	-161	324	-652
81 -41	-81	163	-328	660
82 41	82	-165	332	-668
83 - 42	-83	167	-336	676
84 42	84	-169	340	-684
85 -43	-85	171	-344	692
86 43	86	-173	348	-700
87 -44	-87	175	-352	708
88 44	88	-177	356	-716
89 –45	-89	179	-360	724
90 45	90	-181	364	-732
91 -46	-91	183	-368	740
92 46	92	-185	372	-7 4 8
93 –47	-93	187	-376	756
94 47	94	-189	380	-76 4
95 -48	-95	191	-384	772
96 48	96	-193	388	-780
97 -4 9	-97	195	-392	788
98 49	98	-197	396	-796
	-99	199	-400	804
100 50	100	-201	404	-812
	-101	203	-408	820
101 -51	102	-205	412	-828
102 51		207	-416	836
103 -52	-103	-209	420	-844
104 52	104	211	-424	852
105 -53	-105	-213	428	-860
106 53	106	215	-432	868
107 -54 108 54	-107 108	-217	436	-876 884
109 -55	-109	219	-440	-892
110 55	110	-221	444	900
111 -56	-111	223	-448	-908
112 56	112	-225	452	916
113 -57	-113	227	-456	-924
114 57	114	-229	460	932
115 -58	-115	231	-464	-940
116 58	116	-233	468	
117 -59	-117	235	-472	948
118 59	118	-237	476	-956
119 -60 120 60	-119 120	239 -241	-480 484	964 -972 980
121 -61	-121	243	-488	-988
122 61	122	-245	492	
123 -62	-123	247	-496	996
124 62	124	-249	500	-1003
125 -63	-125	251	-503	1006
126 63	126	-252	503	-1003
127 -63	-126	251	-500	996
128 62	125	-249	496	-988
129 -62	-124	247	-492	980
130 61	123	-245	488	-972
131 -61	-122	243	-484	964
132 60	121	-241	480	-956
133 -60	-120	239	-476	948
134 59	119	-237	472	-940
135 -59	-118	235	-468	932
136 58	117	-233	464	-924
137 -58	-116	231	-460	916
138 57	115	- 229	456	-908
139 -57	-114	227	-452	900
140 56	113	- 225	448	-892
141 -56	-112	223	-444	884
142 55	111	-221	440	-876
143 -55	-110	219	-436	868
144 54	109	-217	432	-860
145 - 54	-108	215	-428	852
146 53	107	-213	424	-844
147 -53	-106	211	-420	836
148 52	105	-209	416	-828
149 -52	-104	207	-412	820
150 51	103	-205	408	-812
151 -51	-102	203	-404	804
152 50	101	-201	400	-796
153 -50	-100	199	-396	788
154 49	99	-197	392	-780
155 -49	-98	195	-388	772
156 48	97	- 193	384	-764
157 -48	-96	191	-380	756
158 47	95	-189	376	-748

			A . / \	9 Δ3(m) Δ4	(m)
159 160 161 162 163 164 165 166 167 168 169 171 173 174 177 178 179 180 181 182 183 184 185 188 189 191 192 193 194 195 197 198 199 200 201 202 203 204 205 207 207 207 207 207 207 207 207 207 207	7 - 44655444333221110099887766555443322111009988776655544332211100998877665554433221110099887766555443322111009988776655544332211100998877665554433221110099887766555443322111009988776655544332211100998877665554433221110099887766555443322211100998877665544332221110099887766555443322211100998877665554433222111009988776655544332221110099887766555443322211100998877665554433222111009988776655544332221110099887766555443322211100998877665554433222111009988776655544332221110099887766555443322211100998877665554433222111009988776655544332221110099887766555443322211100998877665554433322211100998877766555443332221110099887776655544333222111009988777665554433322211100998877766555443332221110099887776655544333222111009988777665554433322211100998877766555443332221110099887776655544333222111009988777665554433322211100998877766555443332221110099887776655544333222111009988777665554433322211100998877766555444333222111009988777665554433322211100998877766555443332221110099887776655544333222111009988777665554443332221110099887776655544433322211100998877766555444333222111009988777665554443332221110099887776655544433322211100998877766555444333222111009988777665554443332221110099887776655544433322211100998877766555444333222111009988777665554443332221110099887776655544433322211100998877766555444333222111009988777665554443332221110099887776655544433322211100998877766555444333222111009988777665554443332221110099887776655544433322211100998877766555444333222111009988777665554443332221110099887776655444344444444444444444444444444444	4321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876543210987654321098765432109876544321098765443210987654432109876544321098765444444444444444444444444444444444444	187 187 187 187 177 177 177 177 167 167 167 167 167 16	-372 -372 -372 -372 -368 -732 -740 -732 -740 -7368 -732 -740 -7368 -732 -740 -7368 -732 -740 -7368 -732 -740 -7368 -732 -740 -7368 -732 -740 -736 -736 -736 -736 -736 -736 -736 -736	0246802468024680246802468024680246802468

			1 0			
Frame No.	\$(m)	Di (m)	$\Delta_2(m)$	$\Delta_3(m)$	$\Delta_{+}(m)$	
239 240 241 242 243 244 245 246 247 248 249 250 251 252	-7 -6 -5 -5 -4 -4 -3 -3 -2 -1 -1 0	-14 13 -12 11 -10 9 -8 7 -6 5 -4 3 -2 1	27 -25 23 -21 19 -17 15 -13 11 -9 7 -5 3 -1	-52 48 -44 40 -36 32 -28 24 -20 16 -12 8 -4	100 -92 84 -76 68 -60 52 -44 36 -28 20 -12 4	